

COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

FORM PTO-1449 (Modified)
LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)
Sheet 1 of 3

In the Application of SWAIN et al.

Serial No.: 09/705,149

Art Unit: unassigned

Filed: 1 November 2000

Examiner: unassigned

Title: DNA-VACCINES BASED ON CONSTRUCTS DERIVED FROM THE GENOMES OF HUMAN AND ANIMAL PATHOGENS

U.S. PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Date	Name	Class	Sub Class	Filing Date
<i>BOC</i>	AA-1	4,945,050	7/31/90	Sanford et al.	—	—	
	AB-1	5,100,792	3/31/92	Sanford et al.	—	—	
	AC-1	5,120,657	6/9/92	McCabe et al.	—	—	
	AD-1	5,149,655	9/22/92	McCabe et al.	—	—	
	AE-1	5,171,568	12/15/92	Burke et al.	—	—	
	AF-1	5,204,253	4/20/93	Sanford et al.	—	—	
	AG-1	5,378,814	1/3/95	Houghton et al.	—	—	
	AH-1	5,584,807	12/17/96	McCabe	—	—	
	AI-1	5,589,466	12/31/96	Felgner et al.	—	—	
	AJ-1	5,630,796	5/20/97	Bellhouse et al.	—	—	
	AK-1	5,865,796	2/2/99	McCabe	—	—	
	AL-1	6,168,587	1/2/01	Bellhouse et al.	—	—	
✓	AM-1	6,194,389	2/27/01	Johnston et al.	—	—	

Examiner:

Beygum L.

Date Considered:

03/29/02

EXAMINER: Initial if citation considered whether or not the citation conforms with MPEP609. Draw a line through the citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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In the Application of SWAIN et al.

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FOREIGN PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Publication Date	Country or Patent Office	Class	Sub Class	Translation YES NO	
<i>Ble</i>	AN-1	WO 89/04669	6/1/89	PCT	<i>1</i>	<i>1</i>		
	AO-1	WO 90/11089	10/4/90	PCT	<i>1</i>	<i>1</i>		
	AP-1	WO 90/14436	11/29/90	PCT	<i>1</i>	<i>1</i>		
	AQ-1	WO 94/00575	1/6/94	PCT	<i>1</i>	<i>1</i>		
	AR-1	WO 94/24263	10/27/94	PCT	<i>1</i>	<i>1</i>		
	AS-1	WO 95/16779	6/22/95	PCT	<i>1</i>	<i>1</i>		
	AT-1	WO 96/12513	5/2/96	PCT	<i>1</i>	<i>1</i>		
	AU-1	WO 96/14855	5/23/96	PCT	<i>1</i>	<i>1</i>		
	AV-1	WO 96/20022	7/4/96	PCT	<i>1</i>	<i>1</i>		
	AW-1	WO 96/31613	10/10/96	PCT	<i>1</i>	<i>1</i>		
	AX-1	WO 97/48485	12/24/97	PCT	<i>1</i>	<i>1</i>		
<i>✓</i>	AY-1	WO 98/10750	3/19/98	PCT	<i>1</i>	<i>1</i>		

Examiner:

Baron/L

Date Considered: *03/29/02*

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OTHER DOCUMENTS (including Author, Date, Journal, Volume, Pertinent Pages, etc.)

Exam. Init.	Ref. Desig.	Description
BCEC,	AZ-1	Baer et al. (1984) <i>Nature</i> <u>310</u> :207-211
	AA-2	Davison et al. (1986) <i>J. Gen. Virol.</i> <u>67</u> :1759-1816
	AB-2	Stanberry et al. (1987) <i>J. Infect. Dis.</i> <u>155</u> :914-920
	AC-2	McGeoch et al. (1988) <i>J. Gen. Virol.</i> <u>69</u> :1531-1574
	AD-2	Stanberry et al. (1988) <i>J. Infect. Dis.</i> <u>157</u> :156-163
	AE-2	Ho et al. (1989) <i>J. Virol.</i> <u>63</u> :2951-2958
	AF-2	Burke et al. (1991) <i>Virology</i> <u>181</u> :793-797
	AG-2	Burke et al. (1991) <i>Rev. Infect. Dis.</i> <u>13</u> (Suppl 11):S906-S911
	AH-2	Houghton et al. (1991) <i>Hepatology</i> <u>14</u> :381-388
	AI-2	Mertz et al. (1992) <i>Ann. Intern. Med.</i> <u>116</u> :197-202
	AJ-2	Straus et al. (1994) <i>Lancet</i> <u>343</u> :1460-1463
	AK-2	Johnston et al. (1997) <i>Vaccine</i> <u>15</u> :808-809
	AL-2	Swain et al. (1997) <i>Behring Institute</i> <u>98</u> :73-78

Examiner:

Baoguan

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